



## Trader REGISTRATION in Derivatives Market

Please note that only completed, well readable and correctly signed forms with original signatures can be accepted. In any case the original signed form has to be sent with postal service even if you have sent it ahead via fax or electronic mail.

### Declaration

To Budapest Stock Exchange Ltd. Trading and Business Development Division (location: Budapest, Krisztina krt. 55. H-1013).

Exchange Member:

Location:

We, the undersigned authorized representatives of above named Exchange Member instruct the BSE to **SET UP** our trader in the MMTS2 system as follows:

name:

place and date of birth:

mother's name:

address:

nationality (primary):

MiFID national ID<sup>1</sup>:

<sup>1</sup> Natural identifiers for natural persons, according to Commission Delegated Regulation (EU) 2017/590 Article 6 and Annex II.



## Trader REGISTRATION in Derivatives Market

### Type of connection

- Trader Workplace (MMTS2)
- K2 interface (MMTS2)

### Role

- ASSISTRADER  
(able to monitor orders, not able to send orders, not able to modify or delete orders, not able to modify order limits or trading accounts)
- TRADER  
(able to monitor, modify or delete orders sent by himself, not able to modify his position limits not able to modify the list of assigned traders and trading accounts of trader groups)
- FIRMMANAGER  
(able to monitor, modify or delete orders sent by other traders from the same Member able to modify the position limits of the Member's traders, able to modify the list of assigned traders and trading accounts of trader groups)

### Risk management

- Chief trader: Allowed to request BSE supervision to delete any of the Member's orders

We take notice that the setting up will be done by BSE in accordance with our declaration.

We take notice that above named trader is employed full time or is in a long-term agency relationship and we attorney the trader that he / she may conclude transactions on behalf of the company (right to represent) in the course of trading in the

- Derivatives section futures market
- Derivatives section options market
- Commodities section

of the Budapest Stock Exchange.

Date:

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(authorized signatures)



## Trader REGISTRATION in Derivatives Market

### Declaration

I, the undersigned

name:

place and date of birth:

mother's name:

address:

hereby declare:

- I am over eighteen (18) years of age,
- I have no criminal record,
- I have at least a secondary school graduate,
- I am not barred from acting as a trader,
- I did not act – in the preceding 3 (three) years – as a chief executive, Senior Manager, professional in charge of investment services, or trader of a firm where my liability under the Civil, Labour or Criminal Code has been established for bankruptcy or liquidation,

- I have professional exam required by the Regulations on Exchange Membership Annex 1. for the given section.
- I am/was a registered trader in any of the Exchanges located in the area of the European Union.
- I am not registered in any of the exchanges of the European Union, but I have a degree which is accepted by any of the exchanges of the European Union for the position of trader on the given market.

Date:

\_\_\_\_\_  
(Trader's signature)

Witnesses:

\_\_\_\_\_  
(name)

\_\_\_\_\_  
(name)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(signature)



## Trader REGISTRATION in Derivatives Market

### GRANTING SECRET PASSWORD FOR REMOTE TRADING<sup>2</sup>

*(Please use block letters.)*

I, undersigned trader,

name:

place and date of birth:

mother's name:

address:

hereby declare that during trading via remote trading I access the Remote Trading Help Desk (Functional, Technical and Trading control) by using the following password. Also I declare, that during trading via remote trading I would be available at the following telephone numbers:

**SECRET PASSWORD:**

*Max. Length: 10 characters*

*Please use block letters!*

**PHONE NUMBERS:**

Direct phone number:

*(In case of only a central number, please also give your extension as well.)*

Mobile number:

**E-MAIL ADDRESS:**

Date:

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(Trader's signature)

<sup>2</sup> The page contains sensitive information, the trader is responsible to keep the password secret.



## Trader REGISTRATION in Derivatives Market

### Security and security awareness information

Users are expected to adhere to the following safety precautions, when using the BSE trading system:

- Access to the central trading system application for trader users is only possible after successful personal user authentication.
- The user credentials used to access the trading system (username, password and BSE Helpdesk telephone password) should not be shared with or passed to the users.
- In the event of a security incident or suspected operational anomaly, the brokerage firm or its trader user should report it to the BSE Helpdesk without delay.
- The trader user's operations, activities and instructions given on the Helpdesk telephone line are automatically recorded, and the Exchange maintains and stores recorded log data and voice recordings in accordance with applicable legislation.
- Use the trading system front-end client application only from an IT device that has an updated antivirus application running.
- Do not allow unauthorized persons to access information displayed on your screen.
- Don't leave the trading workstation unattended until you factually log out of the front-end client application, and lock your workstation's screen before you leave.

Trader's name:

Date:

I, the aforesaid trader hereby declare that I know and adhere to the above security and security awareness rules.

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Trader's signature